	<b>AGU</b> PUBLICATIONS
1	
2	Journal of Geophysical Research: Atmospheres
3	Supporting Information for
4 5	Using Short-Term CO/CO <sub>2</sub> Ratios to Assess Air mass Differences over the Korean Peninsula during KORUS-AQ
6 7	H. S. Halliday <sup>1,2</sup> , J. P. DiGangi <sup>1</sup> , Y. Choi <sup>1,3</sup> , G. S. Diskin <sup>1</sup> , S. E. Pusede <sup>4</sup> , M. Rana <sup>1,3</sup> , J. B. Nowak <sup>1</sup> , C. Knote <sup>5</sup> , X. Ren <sup>6,7</sup> , H. He <sup>6,8</sup> , R. R. Dickerson <sup>6,8</sup> , Z. Li <sup>6,8,9</sup>
8	<sup>1</sup> NASA Langley Research Center, Hampton Virginia, USA
9	<sup>2</sup> Universities Space Research Associate, Columbia Maryland, USA
10	<sup>3</sup> Science Systems and Applications Inc., Hampton Virginia, USA
11	<sup>4</sup> Department of Environmental Sciences, University of Virginia, Charlottesville Virginia, USA
12	<sup>5</sup> Meterological Institute, Ludwig Maximilian University, Munich, Germany
13	<sup>6</sup> Department of Atmospheric and Oceanic Science, University of Maryland, College Park Maryland, USA
14	<sup>7</sup> NOAA Air Resources Laboratory, College Park, Maryland, USA
15	<sup>8</sup> Earth System Science Interdisciplinary Center, University of Maryland, College Park, Maryland, USA
16 17	<sup>9</sup> State Key Laboratory of Earth Surface Processes and Resource Ecology, College of Global Change and Earth System Science, Beijing Normal University, Beijing, China
18 10	Contents of this file
21 22 23 24 25 26 27 28 29 30	Text S2. Method Characterization Text S2.1 Characterization of the Correlated Distributions Text S2.2 Calculated Uncertainties in the Slopes Text S2.3 Understanding the Uncorrelated Behavior at the Zero Slope Text S2.4 How many Ultra-high Efficiency Slopes are Missed with the Rolling Correlations? Text S3. KORUS-AQ Regional Analysis Summaries Text S4. Chinese Dataset Summary Text S5. CO and CO2 Behavior for Analysis Subregions Text S6. Relative Number of Observations Between Regions
31 32	Text S7. Emissions Inventory Calculation Text S8. Calculation of the Slopes

33Figures S1 to S1134Tables S1 to S8

35

#### 36 S1. Vertical Splits on the Free Troposphere Altitude Bin

37 The boundary layer height was calculated using the vertical profiles conducted during 38 the DC-8 flights, and linearly interpolated between the individual vertical profiles. Using this 39 method, each observation was tagged as either boundary layer or free troposphere. However, 40 the free tropospheric measurements encompass a large range of vertical altitudes, from ~ 100 41 meters to 7 km. Figure S1 shows the 60-second correlated  $\Delta CO/\Delta CO_2$  slope distributions, in 100 42 m vertical bins represented by box and whisker plots and separated by boundary layer tag. In the 43 free troposphere at 3 km there is a shift in the distributions. Below 3 km ASL, the correlated 44 slopes in the lower free troposphere have their entire 25th to 50th percentile range above 0% 45  $\Delta CO/\Delta CO_2$ ; above 3 km ASL most of the vertical bins have their 25th percentile value below zero, 46 indicating that there are significantly more negatively correlated slopes above 3km ASL in the 47 free troposphere. To adequately capture this shift in behavior the free tropospheric bin was split 48 into a low free troposphere (< 3 km ASL) and a high tropospheric bin ( $\geq 3 \text{ km ASL}$ ).

49



Figure S1. 60-second correlated slopes (R<sup>2</sup> ≥ 0.5) for all KORUS-AQ DC-8 data, organized in 100m altitude bins and represented by box and whisker plots for each vertical bin. Each box and whisker plot shows the 25th, 50th, and 75th percentile; the whiskers terminate at the last observation within 1.5 times the interquartile range, and all other observations are indicated with outlier points. The slope distributions are plotted against the pressure altitude (km ASL), and separated

- by boundary tag. Two lines have been added, one at  $\Delta CO/\Delta CO_2 = 0$ , and one at 3 km ASL. In the
- 57 free tropospheric data there is a shift in the distributions above 3 km ASL, with the 25th percentile
- of the correlated slopes in most of the high altitude vertical bins falling below  $0\% \Delta CO/\Delta CO_2$ , and
- a shift back to slightly higher values above 6 km ASL.

## 60 S2. Method Characterization

## 61 S2.1 Characterization of the Correlated Distributions

62 Figure S2 shows the explicit comparison between the counts distribution and the probability 63 density distribution by R<sup>2</sup> cutoff value for the 6o-second rolling window. The relative numbers of 64 observations is summarized in Table S1. Subsetting the calculated slopes by the correlation of 65 determination is necessary, as having a minimum R<sup>2</sup> cutoff for inclusion drops periods when both 66 species are steady or otherwise uncorrelated in time during the short time period of the rolling 67 window. This allows the method to appropriately spotlight plumes and other regions of 68 correlated behavior without needing to define and locate plumes in the data, and allows the 69 characterization of nearfield emission signature of  $\Delta CO/\Delta CO_2$ , rather than the overall regional 70 ratio. This is useful in regions with spatially heterogeneous emissions (e.g., China vs. South 71 Korea).

- 72
- Table S1. The number of correlated slopes calculated for the KORUS-AQ science flights using
   different R<sup>2</sup> cutoff conditions on the rolling correlations calculated with a 30 second window.

R <sup>2</sup> Cutoff Value	# of Observations	% of total Observations
0.5	192548	37.4
0.6	162633	31.6
0.7	131212	25.5
0.8	95608	18.6
0.9	53430	10.4



Figure S2. (A) Slope distribution for the full dataset for all slopes with a correlation of determination  $\ge$  0.5, colored by R<sup>2</sup> cut off (colors). (B) The normalized distribution of (A), with each distribution normalized to an area of one.



81

Figure S3. Sensitivity of the slope distribution to the window width. Each distribution is the slope distribution for the full 20 KORUS-AQ science flights, with each line showing the distribution for a different rolling window width (in seconds), for all slopes with  $R^2 \ge 0.5$ . (A) The distributions show as total counts for each bin. (B) The same distributions normalized to a probability density with an area of one.

88 The rolling window width is an important consideration for interpreting the results. A shorter 89 window will be sensitive short duration events, but miss variations with longer duration in the 90 data and identifies fewer correlated slopes. In contrast, the longer windows will pick out 91 correlations over longer time periods, which will calculate more correlated slopes overall. In cases 92 where there is long range transport or otherwise diffuse plumes the longer windows may pick 93 out features that the shorter windows miss. Figure S<sub>3</sub> shows the slope distributions of the full 20 94 science flights calculated with different rolling window widths, in both counts and normalized 95 probability density. Collapsing the count distributions to a normalized density curve returns

96 similar distributions regardless of window width, and Table S2 summarizes some of the statistics 97 for these different windows widths. However, there are some nuances. First, the locations of the 98 peaks (in both positive and negative slopes) moves away from zero as the window width 99 increases. Second, the longer windows highlight behavior in the higher ratios (> 1%  $\Delta$ CO/ $\Delta$ CO<sub>2</sub>) 100 that could indicate transport of more diffuse plumes that are only picked up with the longer 101 windows. Third, the two shortest windows (10 and 15 seconds) are loosely grouped together, 102 yielding both roughly the same number of correlated slopes and loosely the same locations of 103 the peaks. The results in Table S2 are reported to the tenth of a percent as the distributions are 104 binned in 0.1% boxes for. Binning at 0.1% was chosen because it shows the fine scale structure 105 of the distributions without presenting excessive noise.

106 For the analysis presented in the manuscript we chose a 6o-second window. KORUS-AQ 107 sampled over a wide range of scales, and the mid-length 6o-second window was used as a 108 compromise that captured most variation well. For future applications of the method, the rolling 109 window width should be tuned appropriately for the dataset and account for both the species in 110 the ratio and the time resolution of the data. For the current work, a 6o-second window calculated on a 1 second dataset collected from a fast moving platform worked well for the 111 112 species used; Alternative scenarios can present cases where a much longer rolling window would 113 be appropriate, such as ground based measurements with continuous monitoring over many 114 weeks or months at one-minute time resolution, or satellite measurements collected over a 115 decadal time scale.

116

Table S2. Summary of the different window widths on the slope distributions.							
Window Width	# of Correlated	What % of the	Positive	Negative			
(Seconds)	Slopes	calculated slopes	Maximum	Maximum			
	(R <sup>2</sup> ≥ 0.5)	are correlated	(%ΔCO/ΔCO₂)	(%∆CO/∆CO₂)			
10	154568	32.6	0.5	- 0.4			
15	164848	33.9	0.5	- 0.3			
30	192548	37.4	0.6	-0.4			
45	210764	40.0	0.6	-0.4			
60	222444	41.8	0.5	-0.4			
90	236988	44.1	0.7	-0.5			
120	246872	45.7	0.7	-0.5			

117

118

As the calculation window is rolled over the dataset, the number of points associated 119 120 with each slope will depend on the coverage of the data within the window. A sufficiently long 121 window will be able to calculate slopes across calibration events or other missing observations in 122 the data, which means that not all slopes will have the expected maximum observations. To 123 determine if there would be an effect on the distributions from including slopes that spanned 124 regions of missing data, the distributions were recalculated with different minimum number of 125 required observations. A hard minimum number of three observations needed for a valid slopes. 126 Figure S4 shows the results of different cut offs for number of points in each slope for the 6o-127 second rolling window, and show clearly that the normalized distributions are not affected by the 128 removal of correlated slopes with fewer than the expected maximum observations. The different 129 cutoff values in Figure S4 were based on what % of the total rolling window width in seconds was 130 the acceptable minimum cutoff (e.g., if we require that half of the rolling window have pairwise 131 observations of CO and CO<sub>2</sub> for a valid slope, the minimum number of points would be 15). We 132 used cutoffs at 25%, 50%, 75%, 80%, and 90%, plus the hard minimum of 3 points for a valid 133 slope. As is clear from Figure S4, the method shows negligible sensitivity to the minimum 134 number of observations required. Accordingly, the minimum value was set to 3 in order to include 135 the greatest number of slopes.

136



137

138Figure S4. Investigating the effects of requiring a minimum number of points in each correlation139calculation, using the 30 second rolling window on the full 20 KORUS-AQ science flights. The140distributions for the different cutoff values are shown as normalized probability densities,141colored by the minimum number of observations in each slope. The three panels show the results142for three different R<sup>2</sup> cutoff values. (A) R<sup>2</sup>  $\ge$  0.5. (B) R<sup>2</sup>  $\ge$  0.7. (C) R<sup>2</sup>  $\ge$  0.9.

143

144 The final check of the data was to assess whether the slopes in the correlated portion of 145 the data are being driven by real variability or by noise. Slopes with  $\Delta$  values too close to the 146 measurement precision may be unduly influenced by noise or drift in the measurements. The 147 campaign precisions were calculated to be 0.4 ppb for CO and 0.13 ppm for  $CO_2(1\sigma, 1 \text{ second})$ .

148 We assessed the number of slopes that fail this test at 3, 4, and 5 times the precision ( $3\sigma$ ,  $4\sigma$  and

149  $_{5\sigma}$ ), with the results shown in Table S<sub>3</sub>. Very few of the correlated slopes have delta values below

150 our most stringent requirement of  $5\sigma$ , with a total of 1241 slopes being removed from the

- 151 distributions (0.56% of the 22444 total correlated slopes).
- 152

**Table S3.** The statistics for the calculated standard uncertainties in the correlated short-term slopes.
 The uncertainties are in units of ppm<sup>-1</sup>.

	Δ < 3σ	Δ < 4σ	Δ < 5σ
ΔCO	88	147	352
ΔCO <sub>2</sub>	208	402	985
Both $\Delta CO \& \Delta CO_2$	5	16	96

155

156 Based on the testing of the slopes distributions, we apply minimal quality control beyond 157 the correlation cutoff. We used a 60 second rolling window coupled with a R<sup>2</sup> cutoff of 0.5, require 158 a hard minimum of 3 observations for a valid slope, and exclude correlated slopes with a  $\Delta$ CO or 159  $\Delta$ CO<sub>2</sub> below 5 times the species precision, which is a conservative threshold for inclusion. This

160 provides a robust, simple calculation of continuous  $CO/CO_2$  slopes in a 1 second aircraft dataset.

# 161 S2.3 Understanding the Uncorrelated Behavior at the Zero Slope

162When the slopes are separated by the correlation coefficient ( $R^2 \ge 0.5$ ), the data separate163into a correlated distribution, with a positive and negative lobe, and an uncorrelated distribution164centered on zero. The bimodal shape of the correlated distribution persists down to a correlation165coefficient cutoff of 0.1, shown in Figure S5. This is notable because it indicates that the centering166of the uncorrelated data at zero is being driven by the most uncorrelated data ( $R^2 < 0.1, 29.5\%$  of167the calculated slopes); additionally, that most uncorrelated artifact is symmetrically oriented to168the zero slope.

169 To ensure that we correctly understand what causes this symmetric uncorrelated 170 behavior at the zero slope, a rolling calculation was performed using synthetic data with no meaningful statistical correlations. The rolling correlation was run with 50,000 observations of 171 172 synthetic CO (CO\_syn) and synthetic CO<sub>2</sub> (CO<sub>2</sub>-syn). The CO\_syn was a steady mole fraction of 173 185 ppb, with added noise from a normally distributed function; the CO<sub>2</sub> syn was generated from 174 a sine wave, centered at 400 ppm with an amplitude of 5 ppm (395 – 405 ppm), a frequency of 0.1 175 (5000 total cycles), with additional noise from a normal distribution. A weighted slope was 176 calculated with a 60 observation window rolled over the synthetic dataset, and the slope 177 distributions were compared for varying levels of noise on CO and CO<sub>2</sub>.

The results from the tests with the synthetic data are shown in Figure S6. All slopes calculated with this synthetic testing dataset have an  $R^2 < 0.5$ . The results show that the distributions of the uncorrelated data narrow for increasing noise on the CO<sub>2</sub>. In contrast, increasing noise levels on the CO with a steady noise level on the CO<sub>2</sub> creates broader distributions. The real distribution of the uncorrelated zero slope behavior can be interpreted as a balance between slopes being primarily driven by noise on the CO vs CO<sub>2</sub> mole fractions.



186Figure S5. Slope distributions for the 20 science flights, showing the distributions split by a187correlation coefficient of 0.1. Both lines are shown with total counts, with the data binned to 0.1%188 $\Delta CO/\Delta CO_2$ .



190

191 Figure S6. Slope distributions created from a 60 observation rolling window calculating slopes 192 from a synthetic testing dataset, designed to have no correlated periods. A. The slope 193 distributions with increasing noise on the simulated CO<sub>2</sub> mole fractions, with constant low noise 194 on the simulated CO mole fractions. B. The slope distributions with increasing noise on the 195 simulated CO mole fractions, with constant low noise on the simulated CO₂ mole fractions. D. 196 The counts plot from panel A in counts, normalized to a maximum value of 1 to better show the 197 differences in the slopes distributions. C. The counts plot from panel B in counts normalized to a 198 maximum value of 1.

199 S2.4 How many Ultra-high Efficiency Slopes are Missed with the Rolling Correlations?

The goal of this method is to find periods of correlated behavior between CO and CO<sub>2</sub> while excluding correlations driven by measurement uncertainty. As discussed in the main text, correlated slopes having a delta value less than 5 times the precision of either CO or CO<sub>2</sub> were excluded. However, there are ultra-high efficiency combustion processes that produce CO<sub>2</sub> with almost no concomitant CO. These events would have an expected  $\Delta CO/\Delta CO_2$  value of near zero,

and a possibly a poor correlation coefficient due to measurement uncertainties.

206 In order to assess the impact of the requirement for variability within the 6o-second window to

207 exceed five times the measurement precision, data were reprocessed without that requirement,

and the results were compared. Over the complete KORUS-AQ dataset, 6811 slopes were removed as a result of this precision criterion, 1.28% of the total number slopes. The fraction

removed as a result of this precision criterion, 1.28% of the total number slopes. The fraction removed from each of the analysis sectors was roughly equivalent, with the smallest portion in

the Seoul analysis region, and the highest in the Peninsula, possibly driven by the presence the

212 power plants on the northwest coast of South Korea. Table S4 summarizes these findings.

Table S4. Assessment of the number of ultra-high efficiency signature slopes, by analysis region.

- The thresholds for inclusion were set at 5 \*species precision, compared to the calculations of  $\Delta CO$
- 215 and  $\Delta CO_2$ .

	All Data	Seoul	Peninsula	West Sea
# of slopes	6811	1348	3148	2315
% of sector slopes	1.28	0.77	1.52	1.53

216

# 217 S3. Trace Gas Constraints on ΔCO/ΔCO<sub>2</sub> Slope Distributions

The use of short-term rolling correlations can be used with any trace gas species measured at a high time resolution, and the use of additional species can be used to gain additional understanding of the atmosphere. While this manuscript focuses on  $\Delta CO/\Delta CO_2$  ratios for understanding transport over the Korean Peninsula, additional insight can be gained by including combustion species such as NO<sub>2</sub> and CH<sub>4</sub>.

223 For example, the use of  $CH_4$  and  $CO_2$  can be used to differentiate biogenic and 224 anthropogenic behavior. Figure S7 shows a heat map of  $\Delta CH_4/\Delta CO_2$  slopes (%, ppm/ppm \* 100) 225 plotted against  $\Delta CO/\Delta CO_2$  for the full campaign. Air-masses with biogenic influences are 226 expected to have anti-correlated  $CO_2$  and  $CH_4$  behavior, with plants both producing  $CH_4$  and 227 absorbing CO<sub>2</sub>. Using the assumption that all negative  $\Delta CH_{4/}\Delta CO_2$  slopes are due to a biogenic 228 process, we can check the assumption used in the manuscript that the negative  $\Delta CO/\Delta CO_2$ 229 slopes are due to biogenic behavior. The slope distributions shown in Figure S7 are divided by 230 analysis region and boundary layer tag, and have two lines in each subplot - red for the full 231 correlated  $\Delta CO/\Delta CO_2$  distributions, and black showing the distributions of the  $\Delta CO/\Delta CO_2$  slopes 232 that also have correlated negative  $\Delta CH_4/\Delta CO_2$  slopes. If the correlated negative  $\Delta CO/\Delta CO_2$ 233 slopes are primarily biogenic, we would expect to see nearly perfect overlap with the negative

234  $\Delta CH_4/\Delta CO_2$  slopes – which is in fact what is seen in the observations.





Figure S7. Constraining biogenic influences using  $\Delta CH_4/\Delta CO_2$  slopes. The heat map shows correlated 60-second  $\Delta CH_4/\Delta CO_2$  slopes plotted against correlated  $\Delta CO/\Delta CO_2$  slopes, binned at 0.1% ratios, and colored by the number of observations in each bin. The slope distributions show the full correlated  $\Delta CO/\Delta CO_2$  slopes over all observations in red, binned by analysis region and boundary layer height. The black curves are the distributions for any correlated  $\Delta CO/\Delta CO_2$  slope that is co-located with a correlated negative  $\Delta CH_4/\Delta CO_2$  slope, which are associated with biogenic behavior.

243

244 Using the negatively-correlated  $\Delta CH_{4}/\Delta CO_{2}$  slopes as an indicator of biogenic behavior 245 also provides an estimate of how many positive  $\Delta CO/\Delta CO_2$  slopes are associated with biogenic 246 processes. If we assume that all correlated  $\Delta CO/\Delta CO_2$  slopes that correspond with correlated 247 negative  $\Delta CH_4/\Delta CO_2$  slopes are biogenically influenced, this accounts for 5.7% of the total slopes 248 and 41.2 % of the negative  $\Delta CO/\Delta CO_2$  slopes. If instead we allow any negative  $\Delta CH_4/\Delta CO_2$  slope, 249 well correlated or not, the biogenically attributable component rises to 19.3% of the total slopes 250 and 80% of the negative  $\Delta CO/\Delta CO_2$  slopes. Further work is needed to assess the validity of these 251 assumptions.

252 Another approach to constraining the  $\Delta CO/\Delta CO_2$  ratios is to use NO<sub>2</sub> mixing ratios. 253 Rather than use a rolling correlation between NO<sub>2</sub> and CO or CO<sub>2</sub>, the 6o-second  $\Delta NO_2$  can be 254 used as an indicator of emissions variability in the sample. If a correlated slope is accompanied 255 by a  $\Delta NO_2$  value  $\geq 0.1$  ppb, this can be assumed to be due to a recent, "fresh" emission; otherwise, 256 it is classified as aged.



**Figure S8.** Constraining  $\Delta CO/\Delta CO_2$  slopes using NO<sub>2</sub> variability. The left plot shows the full campaign  $\Delta CO/\Delta CO_2$  distributions in blue, divided by analysis region and boundary layer bin. The black curves are the distributions for any correlated  $\Delta CO/\Delta CO_2$  slope that is co-located with a 6osecond  $\Delta NO_2 \ge 0.1$  ppb (recent emissions). The right plot shows the three West Sea analysis sectors, with the total (all altitude) slope distributions shown in color. The black lines show the same distributions filtered for fresh emissions using the same 6o-second  $\Delta NO_2$  cutoff.

Figure S8 shows the application of this constraint to the correlated  $\Delta CO/\Delta CO_2$ distributions. The left side of the plot shows the full correlated  $\Delta CO/\Delta CO_2$  distributions in blue, split by analysis region and boundary layer height tag. The distributions of the fresh  $\Delta CO/\Delta CO_2$ ratios (60-second  $\Delta NO_2 \ge 0.1$  ppb) are shown in black. All three analysis regions show that the slope distributions are primarily driven by recent emissions in the Boundary layer, but that the distributions are aging with increase altitude; this matches the hypothesis that the slopes at higher altitudes are transported air masses rather than emissions originating within the regions.

273 The right side of Figure S8 shows the same  $\Delta NO_2$  constraint applied to the West Sea 274 analysis sectors (all altitudes). The slope distributions in sector A are primarily attributable to 275 fresh emissions, particularly for the lowest ratio (associated with high efficiency combustion). In 276 contrast, sector C is primarily driven by transport from the Chinese mainland, which is validated 277 with both the higher  $\Delta CO/\Delta CO_2$  ratios over all altitudes in this area and the low contributions 278 from fresh emissions in this sector.

Further application of these types of constraints is beyond the scope of the current work. However, the use of other trace gas rolling ratios calculated with this technique can be used to gain a better understanding of the origin and characteristics of transported air masses. The constraints shown here are simple applications of additional ratios; more sophisticated techniques such as clustering are a natural next step for bringing additional insights with additional trace gas species.

#### 285 S4. KORUS-AQ Regional Analysis Summaries

Figures S9, S10 and S11 present the  $\Delta CO/\Delta CO_2$  summaries for the three analysis regions, modeled on Figure 3 which summarized the overall campaign behavior. Table S6 summarizes the fit behavior between  $\Delta CO/\Delta CO_2$  for the three analysis regions and for all observations during KORUS-AQ.

290

## 291 **Table S5.** KORUS-AQ analysis region fit information.

Fit information	All KORUS Data	Seoul	Peninsula	West Sea
Total Error Adjusted Slope	1.11	1.09	0.95	1.35
(%ΔCO/ΔCO <sub>2</sub> )				
Overall R <sup>2</sup>	0.30	0.56	0.22	0.24
% correlated slopes ( $R^2 \ge 0.5$ )	41.7	45.5	38.1	42.1
# correlated slopes ( $R^2 \ge 0.5$ )	221203	79303	78404	63496

292

293 Figure S9 shows the results for the Seoul analysis region. The data collected over Seoul 294 have the highest overall correlation between CO and CO<sub>2</sub>, with a correlation coefficient above 295 0.5. Seoul also has the greatest proportion of correlated slopes over the sampling period. Figure S9A shows the full campaign Seoul scatter plot; while there are a handful of outlier observations, 296 297 the data are reasonably grouped around the fit line compared to the full campaign CO/CO<sub>2</sub> plot 298 in Figure 3. Figure S9B shows both the correlated and uncorrelated probability densities. Like the 299 full campaign data in Figure 3, the uncorrelated slopes are centered at  $0\% \Delta CO/\Delta CO_2$ , while the 300 correlated slopes have both a positive and negative lobe, with the most commonly measured 301 positive slopes around 0.5%  $\Delta CO/\Delta CO_2$ . Figure S9C shows that the normalized distributions for 302 the different R<sup>2</sup> cutoff values have roughly the same behavior for all five correlation cutoffs.

- 303
- 304
- 305





Figure S9. Seoul regional analysis characterization plot. A) Full campaign scatter plot between CO and  $CO_2$  with the error adjusted bivariate correlation. (B) Slope distributions for the 20

309 science flights, showing the distributions of the effectively correlated slopes ( $R^2 \ge 0.5$ ) and the 310 effectively uncorrelated slopes ( $R^2 < 0.5$ ). Both lines are normalized to a probability density. (C) 311 The normalized probability distributions of the correlated data, separated by minimum  $R^2$  value.

312

313 Figure S10 shows the summary of the Peninsula analysis region. This region was not 314 analyzed in detail for the manuscript, as it is the subject of continuing research due to the 315 complicated topography and the variety and mix of sources within this region. The scatter plot 316 in Figure S10 shows the largest spread in the data for the three analysis regions, and also 317 indicates that the overall distribution shape see in the full data scatter plot from Figure 3 is being 318 driven by measurements collected in the Peninsula analysis region. This region has the lowest 319 percentage of correlated slopes, below 40%, and a non-meaningful overall  $\Delta CO/\Delta CO_2$  ratio. This 320 is due to the variety of environments that are combined into this analysis region, whereas the 321 Seoul region included the emissions from the main population area, and the West Sea was mostly 322 a receptor location for South Korean inflow or outflow (depending on sector). The Peninsula 323 region covered all of the non-Seoul portions of the South Korean Peninsula and portions of 324 Southern Japan, making it a poor region for the high level analysis that was conducted in this 325 paper.

326



#### 327

Figure S10. Peninsula regional analysis characterization plot. A) The full campaign scatter plot between CO and CO<sub>2</sub> with the error adjusted bivariate correlation. (B) The slope distributions for the 20 science flights, showing the distributions of the effectively correlated slopes ( $R^2 \ge 0.5$ ) and the effectively uncorrelated slopes ( $R^2 < 0.5$ ). Both lines are normalized to a probability density. (C) The normalized probability distributions of the correlated data, separated by minimum  $R^2$ value.

334

Figure S11 summarizes the West Sea analysis region. The West Sea was primarily a receptor location for the inflow and outflow from the South Korean peninsula, although measurement of the industrial region on the northwest coast of South Korea were also included. In general, the slope distributions for this region were shifted to higher  $\Delta CO/\Delta CO_2$  values compared to the Seoul and Peninsula regions, and this shift can be seen in both Figure S11A, the 340 scatter plot, and Table S5, which shows that the overall  $\Delta CO/\Delta CO_2$  ratio for this region was a 341 relatively high 1.35%. Figure S11C shows that while the different R<sup>2</sup> cutoff values resulted in 342 similar distribution behavior for all five cutoffs, the more highly-correlated distributions show 343 deviation from the other cutoffs. This is most likely due to the sampling size of the distributions. 344 For regions where there is a large proportion of long range transport, such as over the West Sea 345 where the aircraft sampled Chinese inflow to the peninsula, the plumes measured are expected 346 to be more diffuse, with fewer correlated slopes over the short time windows compared to the 347 longer windows.

348



349

Figure S11. West Sea regional analysis characterization plot. A) Full campaign scatter plot between CO and CO<sub>2</sub> with the error adjusted bivariate correlation. (B) Slope distributions for the co science flights, showing the distributions of the effectively correlated slopes ( $R^2 \ge 0.5$ ) and the effectively uncorrelated slopes ( $R^2 < 0.5$ ). Both lines are normalized to create probability densities. (C) The normalized probability distributions of the correlated data, separated by minimum  $R^2$  value.

356

#### 357 S5. Chinese Dataset Summary

358 The Chinese in situ dataset was comprised of CO and CO<sub>2</sub> measurements made over the 359 Chinese mainland during the same time frame as the KORUS-AQ DC-8 campaign. Figure S12 360 summarizes the  $\Delta CO/\Delta CO_2$  characteristics for these measurements. Figure S12A shows the total 361  $CO/CO_2$  scatter plot. The RMA correlation produces an overall slope of 3.33%  $CO/CO_2$  and a total 362 correlation coefficient of  $R^2 = 0.76$ . Figure S12B shows the correlated ( $R^2 \ge 0.5$ ) and uncorrelated 363 (R<sup>2</sup> < 0.5) probability density distributions. While the overall correlation returned a total ratio of 364 3.3% CO/ CO<sub>2</sub>, the slope distributions show bimodal shape, with peaks at both 1% and 365 approximately 2.5%  $\Delta CO/\Delta CO_2$ . Figure S12C shows the normalized probability distributions of 366 the correlated data, separated by minimum  $R^2$  value. While the KORUS-AQ  $\Delta$ CO/ $\Delta$ CO<sub>2</sub> results 367 indicated that the R<sup>2</sup> cut off value did not change the normalized slope distributions significantly, 368 the results from the Chinese data indicate that this observation does not hold for this dataset. 369 This most likely due to the smaller number of data points in the Chinese dataset vs. the KORUS-370 AQ dataset; The KORUS-AQ dataset includes 20 science flights, which were 6 to 10 total hours 371per flight over a large spatial and vertical domain, while the Chinese measurements were372collected on a smaller aircraft platform with more limited flight capabilities. In the manuscript373analysis we use the minimum correlation cutoff value of  $R^2 \ge 0.5$  to be consistent with the slope374distributions from the KORUS-AQ dataset.

375



376

377 Figure S12. Chinese dataset characterization plot. A) The full campaign scatter plot between CO 378 and CO<sub>2</sub> with the error adjusted bivariate correlation. (B) Slope distributions for the 20 science 379 flights, showing the distributions of the effectively correlated slopes ( $R^2 \ge 0.5$ ) and the effectively 380 uncorrelated slopes (R<sup>2</sup> < 0.5). Both lines are normalized to a probability density. (C) Normalized 381 probability distributions of the correlated data, separated by minimum R<sup>2</sup> value. (D) 382 Quantification of the distributions by  $\Delta CO/\Delta CO_2$  ratio range, i.e. < 0 %  $\Delta CO/\Delta CO_2$ , 0 – 1 % 383  $\Delta CO/\Delta CO_2$ , etc. Each bar is labeled with the percentage of the distribution that occurs within the 384 listed range.

#### 385 S7. Relative Number of Observations between Regions

386 The slope distributions in the manuscript text are shown as normalized probability 387 distributions, allowing us to capture the distribution behavior differences between regions 388 without the number of samples influencing height of the distributions. Table S6, S7, and S8 show 389 the count statistics for each of the analysis regions. Table S6 breaks down observation statistics 390 for the three primary South Korea analysis regions, Table S7 shows observation statistics for the three West Sea analysis subsectors, and Table S8 shows the observation statistics for the Chinese 391 392 dataset. The FLEXpart back-trajectories were not calculated for the Chinese measurement 393 dataset.

**Table S6**. Observation Statistics for the three South Korean analysis regions.

Region	Number of	% of total	Number of	% of slopes	Number of
	pairwise	pairwise	Correlated	correlated	Back-
	Observations	observations	slopes	in region	Trajectory
	$(CO + CO_{2})$				Calculations
					Associated
					with Region
	All altitudes				
Seoul	147059	32.2	79463	45.5	3098
Peninsula	179464	39.3	79052	38.4	3565
West Sea	130134	28.5	63894	42.4	2568
	High Free Troposphere				
Seoul	43643	9.56	12326	23.6	941
Peninsula	48535	10.8	8807	15.4	999
West Sea	39699	8.69	8701	18.8	800
	Low Free Tropos	phere			
Seoul	42689	9.35	25610	50.2	886
Peninsula	24014	5.26	14876	54.4	448
West Sea	20987	4.60	12125	50.0	402
	Boundary Layer				
Seoul	60735	13.3	41527	58.2	1270
Peninsula	105915	23.2	55369	45.6	2118
West Sea	69448	15.2	43068	53.3	1366

# Table S7. Observation Statistics for the three West Sea analysis subsections.

Region	Number of pairwise Observations (CO + CO <sub>2</sub> )	% of total pairwise observations	Number of Correlated slopes in region	% of slopes correlated in region	Number of Back- Trajectory Calculations Associated with Region
	All altitudes				
Sector A	41190	9.02	20662	43.7	805
Sector B	29969	6.56	15894	44.7	605
Sector C	5 <sup>8</sup> 975	12.9	27338	40.0	1158
	High Free Tropos	phere			
Sector A	9524	2.09	1889	17.3	189
Sector B	9563	2.09	3466	30.7	199
Sector C	20612	4.51	3346	13.9	412
	Low Free Tropos	phere			
Sector A	4252	0.93	1894	38.5	82
Sector B	3868	0.85	2703	59.8	71
Sector C	12867	2.82	7528	50.8	249
	Boundary Layer				
Sector A	27414	6.00	16879	53.6	534

Sector B	16538	3.62	9725	49.2	335
Sector C	25496	5.58	16464	55.8	497

399

400 Table S8. Observation Statistics for the Chinese measurement.

Region	Number of	% of total	Number of	% of slopes
	pairwise	pairwise	Correlated	correlated in
	Observations	observations	slopes in	region
	(CO + CO <sub>2</sub> )		region	
All Data	5 <sup>8</sup> 775	100	21920	36.2
Free	30409	51.7	9714	31.2
Troposphere				
Boundary	27236	46.3	11884	41.0
Layer				

401

#### 402 S8. Emissions Inventory Calculation

403 In Section 4.6, emissions inventory values for CO and CO<sub>2</sub> emissions were converted to 404 mole fractions and the mole fraction ratio of CO/CO<sub>2</sub> was calculated for comparison with the 405 short-term  $\Delta$ CO/ $\Delta$ CO<sub>2</sub> ratios from the in-situ observations. The calculation for the conversion 406 from Gg (CO, Tg CO<sub>2</sub>) to moles is show in eq. S1 (CO) and eq. S2 (CO<sub>2</sub>). This approach of 407 comparing emissions inventory values to  $\Delta$ CO/ $\Delta$ CO<sub>2</sub> ratios is based on Suntharalingham et al. 408 (2004).

409

410 
$$CO\left(\frac{Gg}{Yr}\right) * \left(\frac{Tg}{1000 \text{ Gg}}\right) * \left(\frac{\text{mole}}{28.01 \text{ g}}\right) = CO\left(\frac{\text{Tmoles}}{Yr}\right)$$
(S1)

411 
$$\operatorname{CO}_2\left(\frac{\operatorname{Tg}}{\operatorname{Yr}}\right) * \left(\frac{\operatorname{mole}}{44.01 \, \mathrm{g}}\right) = \operatorname{CO}_2\left(\frac{\operatorname{Tmoles}}{\operatorname{Yr}}\right)$$
 (S2)

#### 412 S9. Derivation of the Calculation of the Slopes to Facilitate Conceptual Understanding

413 The short-term ratios between CO and CO<sub>2</sub> are calculated with a short rolling window 414 (6o-seconds for most of this work), and filtered by correlation coefficient to return a slope 415 distribution that provides some information about the instantaneous ratios between the two 416 species. While we calculate the short-term ratio for all observations in the dataset, the 417 correlation coefficient cutoff ensures that the slopes being analyzed are from areas where the 418 two species have mole fractions that are changing on the same time scale, and with  $\Delta$  values 419 large enough to produce valid results. For the 6o-second window in this analysis, these periods 420 are times when the aircraft samples across an air mass boundary or plumes mixing zones. Times 421 when the concentrations are steady in time for time periods longer than the rolling window are 422 dropped from the analysis, no matter what the overall ratio is for that period.

423 A note on interpreting the  $\Delta CO/\Delta CO_2$  slopes. The  $\Delta CO/\Delta CO_2$  ratio can be read as a 424 measure of combustion efficiency, but this interpretation does not fully capture the underlying 425 mathematics in the short-term slope calculations. The slope calculation is an assessment of the 426 change in the mole fractions between two air masses with different characteristics. This means 427 that the measured ratio between CO and  $CO_2$  is a measurement of the delta values between air 428 mass 1 and air mass 2; we do not measure the direct emissions, but rather the delta between a 429 plume and the surrounding atmosphere or the difference between two mixing air masses. This

- 430 means that the ratios we measure and analyze are always some mix of two air masses, either 431 between the background air mass and the plume, or the air masses on either side of a boundary. 432 This section explicitly lays out this mixing, using two air masses, AM1 and AM2. Each air 433 mass has a district mole fraction of CO and CO<sub>2</sub>, as stated in Eq S<sub>3</sub> (AM<sub>1</sub>) and S<sub>4</sub> (AM<sub>2</sub>): 434  $AM_{1} = A_{CO}(CO) + A_{CO_{2}}(CO_{2}) + (1 - A_{CO} - A_{CO_{2}})(Other)$ (S<sub>3</sub>) 435  $AM_2 = B_{CO}(CO) + B_{CO_2}(CO_2) + (1 - B_{CO} - B_{CO_2})(Other)$ (S4) 436 The mixing process can be defined as: 437  $Mixing = \alpha AM_1 + (1 - \alpha)AM_2$ (S5) 438 Which we can expand with the definitions of the air masses: 439  $Mixing = \alpha [A_{CO}(CO) + A_{CO_2}(CO_2) + (1 - A_{CO} - A_{CO_2})(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)(Other)] + (1 - \alpha) [B_{CO}(CO) + (1 - \alpha)($  $B_{CO_2}(CO_2) + (1 - B_{CO} - B_{CO_2})(Other)]$ 440 (S6) 441 Rearranging yields:  $= [\alpha A_{CO} + (1 - \alpha)B_{CO}]CO + [\alpha A_{CO_2} + (1 - \alpha)B_{CO_2}]CO_2 + [\alpha(1 - A_{CO} - A_{CO_2}) + (1 - \alpha)B_{CO_2}]CO_2 + [\alpha(1 - \alpha)B_{CO_2}]CO_2$ 442  $\alpha$ )(1 – B<sub>CO</sub> – B<sub>CO<sub>2</sub></sub>)]Other 443 (S7) 444 Simplify in terms of  $\alpha$ :  $= [\alpha(A_{CO} - B_{CO}) + B_{CO}]CO + [\alpha(A_{CO_2} - B_{CO_2}) + B_{CO_2}]CO_2 + [\alpha((A_{CO} - B_{CO}) + (A_{CO_2} - B_{CO_2}) + B_{CO_2}]CO_2 + [\alpha(A_{CO_2} - B_{CO_2}) + (A_{CO_2} - B_{CO_2}) + B_{CO_2}]CO_2 + [\alpha(A_{CO_2} - B_{CO_2}) + (A_{CO_2} -$ 445  $B_{CO_2}$ ) +  $(1 - B_{CO} - B_{CO_2})$ ] Other 446 (S8) 447 The concentrations of CO and CO<sub>2</sub> for any point in time for this mixing process can be deduced 448 from Eq. S8 to be: 449  $\chi_{CO} = \alpha (A_{CO} - B_{CO}) + B_{CO}$ (S9)  $\chi_{CO_2} = \alpha (A_{CO_2} - B_{CO_2}) + B_{CO_2}$ 450 (S10) 451 When we measure a slope over a time window, we are measuring the  $\Delta CO/\Delta CO_2$  over that time 452 range. So for any two points in time, ti and tj, we can present that mole fraction ratio as:  $\frac{\Delta CO}{\Delta CO_2} = \frac{\chi_{\rm CO_j} - \chi_{CO_i}}{\chi_{\rm CO_{2i}} - \chi_{CO_{2i}}}$ 453 (S11) 454 The characteristic mole fractions of the two mixing air masses don't change, only the extent of 455 the mixing, so Ai and Aj, etc., are equal. With that assumption, we can rewrite Eq. S11 as:  $\frac{\Delta CO}{\Delta CO} = \frac{(\alpha_j - \alpha_i)(A_{CO} - B_{CO})}{(\alpha_j - \alpha_i)(A_{CO} - B_{CO})}$ 456 (S12)  $\overline{\Delta \text{CO}_2} - \overline{(\alpha_j - \alpha_i)(\text{A}_{\text{CO}_2} - \text{B}_{\text{CO}_2})}$ 457 Or more simply as:  $\frac{\Delta CO}{\Delta CO} = \frac{(A_{CO} - B_{CO})}{(A_{CO} - B_{CO})}$ 458 (S13)  $\Delta CO_2$  $(A_{CO_2} - B_{CO_2})$ 459 This derivation of the behavior behind the slope calculation during the mixing of two air 460 masses indicates that as the aircraft samples over a plume within an otherwise chemically 461 homogenous environment, the slope should remain steady during the sampling of that plume. 462 Figure S13 showcases an observation of a plume on May 2, 2016, in the boundary layer over the 463 Seoul analysis region, and shows that the slope does stay at a steady value as the aircraft samples 464 through the CO and CO<sub>2</sub> plume, as well as showing how the slope values in the steady state mole 465 fraction region before the plume begins to be sampled are filtered out with the minimum R<sup>2</sup> filter.
  - arising from, as an example, the mixing of an air mass with depleted CO<sub>2</sub> with an air mass that
     has combustion sourced CO and CO<sub>2</sub>. Other scenarios can also generate negative slopes.

Additionally, for the expression in S13, the calculation of the negative values can be seen to be

469





471Figure S13. A short time series of a CO and CO2 plume measured in the Seoul Analysis region472Boundary Layer on 2 May, 2016. The plot shows the CO and CO2 time series, with additional time473series showing the calculated slope (60-second rolling window), the 60-second window  $\Delta$ CO and474 $\Delta$ CO2 values, and the mean CO and CO2 measured for each step in the rolling window. The time475series plots are colored by the R2 value, which has been binned by value. Each time series is noted476on the right panel, including units for each individual time series.

478 Using the definitions of  $\chi co$  and  $\chi co_2$  from equations S9 and S10, we can derive an 479 expression for the intercept that accompanies the slope. Using Eq. S10, we express  $\alpha$  in terms of 480 CO<sub>2</sub>:

$$481 \qquad \alpha = \frac{(\chi_{CO_2} - B_{CO_2})}{(A_{CO_2} - B_{CO_2})} \tag{S14}$$

482 Then use this expression in Eq. S9:

483 
$$\chi_{\rm CO} = \left[\frac{\chi_{\rm CO_2} - B_{\rm CO_2}}{A_{\rm CO_2} - B_{\rm CO_2}}\right] (A_{\rm CO} - B_{\rm CO}) + B_{\rm CO}$$
 (S15)

485 
$$\chi_{CO}(A_{CO_2} - B_{CO_2}) = [\chi_{CO_2} - B_{CO_2}](A_{CO} - B_{CO}) + B_{CO}(A_{CO_2} - B_{CO_2})$$
 (S16)

486 
$$(A_{CO_2} - B_{CO_2})(\chi_{CO} - B_{CO}) = [\chi_{CO_2} - B_{CO_2}](A_{CO} - B_{CO})$$
 (S17)

487 
$$\chi_{CO} = [\chi_{CO_2} - B_{CO_2}] \frac{(A_{CO} - B_{CO})}{(A_{CO_2} - B_{CO_2})} + B_{CO}$$
 (S18)

488 
$$\chi_{CO} = \chi_{CO_2} \left( \frac{(A_{CO} - B_{CO})}{(A_{CO_2} - B_{CO_2})} - B_{CO_2} \frac{(A_{CO} - B_{CO})}{(A_{CO_2} - B_{CO_2})} + B_{CO} \right)$$
 (S19)

489 Or more simply,

490 
$$\chi_{\rm CO} = \chi_{\rm CO_2} m - B_{\rm CO_2} m + B_{\rm CO}$$
 (S20)

491 Which gives us an expression for the intercept, b (from the linear equation y = mx+b, with  $\chi co^{492}$  and  $\chi co_2$  as the x and y variables), and m is the slope ( $\Delta CO/\Delta CO_2$ ):

493 
$$b = B_{CO} - B_{CO_2} \frac{(A_{CO} - B_{CO})}{(A_{CO_2} - B_{CO_2})}$$
 (S21)

The expressions in Eq. S13 and Eq. S21 give us the slope and intercept in terms of the chemical characteristics of the two air masses. In a situation like the one shown in Figure S11, if we assume the period when the mole fractions are steady is a measurement of the background air mass, we can use those concentrations to give us values for A<sub>CO</sub> and A<sub>CO2</sub>; the rolling calculations also give values for the slope and the intercept.