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### Key Points:

- A one-year's worth of global marine shallow single-layer cloud top radiative cooling (CTRC) is derived from satellite and reanalysis data
- Spatial and seasonal variations of CTRC are largely reflections of changes in free-tropospheric humidity and cloud top temperature
- A neural network model for the CTRC was trained, which substantially speeds up the retrieval while maintaining good accuracy

### Supporting Information:

Supporting Information may be found in the online version of this article.

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## Climatology of Cloud-Top Radiative Cooling in Marine Shallow Clouds

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**Abstract** A one-year's worth of near-global marine shallow single-layer cloud top radiative cooling (CTRC) is derived from a radiative transfer model with inputs from the satellite cloud retrievals and reanalysis sounding. The mean cloud top radiative flux divergence is  $61 \text{ W m}^{-2}$ , decomposed into the longwave and shortwave components of  $73$  and  $-11 \text{ W m}^{-2}$ , respectively. Equatorward of  $30^\circ\text{N/S}$ , the CTRC is largely a reflection of free-atmospheric specific humidity distribution: a dry atmosphere enhances CTRC by reducing downward thermal radiation. Consequently, the cooling minimizes in the “wet” tropics and maximizes in the “dry” eastern subtropics. Poleward of  $30^\circ\text{N/S}$ , the CTRC decreases slightly due to the colder clouds that emit less effectively. The CTRC exhibits distinctive seasonal cycles with stronger cooling in the winter and has amplitudes of order  $10\text{--}20 \text{ W m}^{-2}$  in stratocumulus-rich regions. The datasets were used to train a machine-learning model that substantially speeds up the retrieval.

**Plain Language Summary** Marine low-lying clouds cool by emitting thermal radiation. The cooling is known as cloud top radiative cooling (CTRC). A change in CTRC can influence the properties of marine clouds via many avenues, ranging from altering the vertical motions of the clouds to changing the clouds' ability to reflect sunlight. Despite the importance of CTRC to the climate system, its climatological characteristics, namely how it varies with space and time, remain unknown. This work fills this knowledge gap. We generate the product of the CTRC over the global ocean using a novel satellite methodology developed in our previous work. Analyses of the data show that the spatial and temporal distributions of the CTRC are largely reflections of the atmospheric humidity: the drier the atmosphere, the stronger the cooling. As a result, the CTRC is weakest in the wet tropics and strongest in the dry eastern subtropical oceans, such as the west of California coast. We also use the CTRC data to train a machine-learning algorithm that can substantially speed up the calculation of CTRC.

### 1. Introduction

Marine shallow clouds (MSC) are crucially important to the Earth's climate because they affect both energy and water cycles. MSC cloudiness is dominated by stratocumulus decks sustained by the convection driven by cloud top radiative cooling (CTRC). An increase in CTRC destabilizes the stratocumulus-topped boundary layer, driving more intense convective circulation that substantially alter the cloud and radiative properties via many avenues (Austin et al., 1995; Bretherton & Wyant, 1997; Bretherton et al., 2007; Caldwell et al., 2005; Deardorff, 1976; Lilly, 1968; Nicholls, 1984; Stevens, 2002; Zheng et al., 2016, 2018; Zhou & Bretherton, 2019). These influences make the CTRC a crucial player in understanding the low cloud feedback, a major source of uncertainty for climate projections (Bony & Dufresne, 2005). For example, as the planet warms, the CTRC will weaken due to the enhanced down-welling thermal radiation in a more opaque atmosphere. The reduced CTRC, via weakening the boundary layer convection, thins the stratocumulus decks, leading to positive low cloud feedback. Representations of CTRC in the global climate models (GCMs) are poor because the cooling typically concentrates near the top several tens of meters of the cloud layer, which the GCMs cannot resolve. An improved representation of CTRC in a modern higher-order turbulence closure scheme in GCMs (Larson et al., 2012) can markedly improve the GCM simulations of low clouds (Guo et al., 2019).

Despite the fundamental importance of CTRC, its observations have been scarce. Typical approaches are direct observations of radiative fluxes from aircraft (Bretherton, Wood, et al., 2010) or tethered balloon (Slingo

et al., 1982) and indirect calculations with a radiative transfer model (RTM) with inputs from field campaign measurements (Ghate et al., 2014; Nicholls & Leighton, 1986; Wood, 2005; Zheng et al., 2016). The ensuing CTRC data are inherently highly limited in spatial and temporal coverage. Active satellite sensors have been used to estimate the radiative fluxes in the cloudy atmosphere using a RTM (Haynes et al., 2013; L'Ecuyer et al., 2008), but the vertical resolution is too coarse (240 m) to resolve the CTRC that takes place chiefly near the upper several tens of meters in MSC. A systematic analysis of the CTRC climatology over the global ocean is still lacking.

This study aims to fill the knowledge gap of CTRC climatology. This work builds upon our previous work by Zheng et al. (2019) who proposed a new remote sensing approach for retrieving the CTRC with passive satellite data. This new methodology calculates the CTRC using an RTM with inputs from satellite-derived cloud properties and reanalysis sounding corrected by satellite-retrieved cloud top temperature. Here we used the method to generate a full year of MSC CTRC product from the Moderate Resolution Imaging Spectroradiometer (MODIS) onboard the National Aeronautics and Space Administration Aqua and Terra satellites. The data were used in two ways: studying the CTRC climatology and training a machine learning model to speed up the retrieval.

The paper is organized as follows: Section 2 introduces satellite data and the algorithm of CTRC retrieval. Section 3 provides a theoretical background of the environmental dependence of CTRC, paving the ground for the subsequent analyses. Section 4 analyzes the climatology of CTRC in terms of spatial and temporal variabilities. Section 5 shows the machine learning of CTRC and its evaluations, followed by the conclusion in Section 6.

## 2. Data and Methodology

### 2.1. Data

Cloud properties are obtained from the MODIS Terra/Aqua Level-1 (MxD06) and Level-2 (MxD06\_L2) cloud product collection 6.1 (Platnick et al., 2015) over the global ocean in 2014. Each MODIS swath was divided into multiple 110 by 110 km scenes ( $\sim 1^\circ$  by  $1^\circ$  at the equator). The criteria for scene selection are the same as our previous works (Cao et al., 2021; Rosenfeld et al., 2019). Scenes with single-layer liquid water clouds with cloud geometrical thickness thinner than 800 m were selected. In each scene, the retrieved cloud optical depth, cloud droplet effective radius, and cloud top temperature are averaged over cloudy pixels. Scenes poleward of  $65^\circ\text{N}$  or S are excluded to avoid the known problems of cloud retrievals for high solar zenith angle (Grosvenor & Wood, 2014). A total of  $\sim 6$  million valid scenes were collected.

Vertical profiles of temperature and humidity are obtained from the National Centers for Environmental Prediction reanalysis data (Kalnay et al., 1996). The sea surface temperature ( $T_s$ ) data are from the National Oceanic and Atmospheric Administration (Reynolds et al., 2007). The reanalysis and  $T_s$  data are interpolated into the geospatial center and time of each satellite scene.

### 2.2. Retrieval Algorithm

We provide a high-level introduction of this algorithm to elucidate the fundamental concepts (Zheng et al., 2019). The retrieval relies on an RTM, namely the Santa Barbara DISORT Atmospheric RTM (Ricchiuzzi et al., 1998) (see Text S1), with inputs from satellite-retrieved cloud parameters in combination with the reanalysis sounding (Table S1). The key merit of this algorithm is the revision of the original reanalysis profiles. It is well known that reanalysis data fail to capture the sharp inversion layer topping MSC. This causes large errors in the simulated radiative fluxes across the cloud top that are particularly sensitive to temperature inversion. We tackled this challenge by revising the reanalysis sounding in a physically coherent way. We use the satellite-retrieved cloud top temperature to reconstruct the inversion-layer sounding by assuming a 100% relative humidity in the cloud layer (see Zheng et al., 2019 for detail).

With inputs from the revised sounding and satellite-retrieved cloud parameters, the RTM outputs the vertical profiles of radiative fluxes. We quantify the CTRC using the divergence of net radiative flux across the cloud top, denoted as  $\Delta F$ . The upper boundary for  $\Delta F$  is 100 m above the cloud top and the lower boundary is the height of the grid in the cloud layer where the radiative cooling shifts to radiative warming as one

goes down to the cloud base (there is typically radiative warming layer near the cloud base). The  $\Delta F$  has longwave (LW) and shortwave (SW) components ( $\Delta F_{LW}$  and  $\Delta F_{SW}$ ).

The retrieval error of this algorithm is  $\sim 10\%$  according to a systematic evaluation against the “ground truth”  $\Delta F$  in the northeast Pacific (Zheng et al., 2019). Such a good performance benefits from the fact that the most determinant inputs for the  $\Delta F$  correspond to (perhaps serendipitously) those that are better constrained by observations than other inputs. For example, the retrieved  $\Delta F$  is insensitive to the below-cloud sounding, but highly sensitive to the above-cloud sounding. Fortunately, sounding from space-borne infrared sounders (assimilated by the reanalysis) typically performs best for above-cloud free troposphere where the weighting function maximizes (Chazette et al., 2014; Susskind et al., 1998).

Because Terra/Aqua satellites have fixed overpasses time of approximately 1,030 and 1,330 h local solar time, the simulated SW fluxes are biased toward the local time of observations when the incoming solar insolation is substantially larger than the daily means. To mitigate such diurnal bias, we follow L’Ecuyer et al. (2008) to correct the instantaneous SW flux by multiplying it by a correcting factor defined as the ratio of the average top-of-atmosphere insolation for the scene’s latitude and Julian day to the instantaneous top-of-atmosphere insolation. Figure S1 shows the probability density function (PDF) of the instantaneous  $\Delta F_{SW}$  (red) and corrected daily mean  $\Delta F_{SW}$  (green). The daily mean  $\Delta F_{SW}$  is considerably smaller and more narrowly distributed than the instantaneous  $\Delta F_{SW}$ , consistent with expectation. In the remainder of the manuscript, the  $\Delta F_{SW}$  refers to the daily mean  $\Delta F_{SW}$  unless otherwise noted.

Note that the  $\Delta F$  represents cooling averaged over cloudy pixels of a satellite scene and there is no contribution from the cloud-free area. In other words, the cloudiness does not directly influence the  $\Delta F$ . This is important to keep in mind because some studies refer to the CTRC as the average of all pixels, both clear and cloudy (Bretherton, Uchida, & Blossey, 2010; Vial et al., 2016). Such an all-sky CTRC is not our focus although it will be discussed in Section 4.3.

Aerosols are not included in the calculations because of the lack of aerosol vertical information from passive sensors. We consider it an insignificant issue, motivated by previous research showing the limited radiative role of aerosols compared with the influence of atmospheric thermodynamics (Haynes et al., 2013; Henderson et al., 2013).

### 3. Conceptual Background: What Determines the CTRC?

To assist with interpreting the climatology analysis, we briefly discuss what drives the changes in  $\Delta F_{SW}$  and  $\Delta F_{LW}$  using simple illustrative formulas. The  $\Delta F_{LW}$  for a single-layer cloud can be approximated as:

$$\Delta F_{LW} \approx \varepsilon_c \sigma T_c^4 - \varepsilon_a \sigma T_a^4, \quad (1)$$

where  $\varepsilon$ ,  $\sigma$ , and  $T$  are the emissivity, the Stefan-Boltzmann constant, and emission temperature, respectively. The subscripts “c” and “a” stand for the cloud and the above-cloud atmosphere, respectively. The  $\Delta F_{LW}$  is typically positive, meaning a divergence of radiative flux and thus a cooling. For analytical convenience, we re-arrange the Equation 1:

$$\Delta F_{LW} \approx \sigma T_c^4 \times \left( \varepsilon_c - \varepsilon_a \frac{T_a^4}{T_c^4} \right), \quad (2)$$

For SW, we use the Schwarzschild equation to derive an illustrative formula for  $\Delta F_{SW}$ :

$$\Delta F_{SW} \approx S \times e^{-\tau_a} \times (1 - e^{-\tau_c}), \quad (3)$$

where  $S$  stands for the incoming SW radiative flux at the top of the atmosphere, which is negative.  $\tau$  is a bulk measure of a layer’s ability to absorb SW energy (i.e., SW optical depth). In a clear atmosphere, its primary contribution is primarily from the water vapor whereas in a cloudy layer both cloud droplets and water vapor contribute (Li & Moreau, 1996).

Equations 2 and 3 show several important CTRC-controlling factors. The first is the optical thickness of the free atmosphere. For LW, an optically thicker free atmosphere enhances the emissivity ( $\varepsilon_a$ ), thereby increasing the downward radiative flux. This decreases the cooling. In the atmosphere, water vapor is the most important absorber so a more humid atmosphere favors weaker cloud top LW cooling. As evident in

Equation 2, the  $\varepsilon_a$  is coupled with the  $T_a^4/T_c^4$  that can be considered a rough measure of the atmospheric stability. In our study regions (65°S–65°N), the variability of  $T_a^4/T_c^4$  is on order of  $\sim 10\%$  (Figure S2), whereas the humidity-driven change in  $\varepsilon_a$  is generally much larger (e.g., Beucler & Cronin, 2016; Zheng, 2019). This makes the atmospheric opacity a more dominant factor than the  $T_a^4/T_c^4$ . For SW, a humid free atmosphere absorbs more incoming solar radiation (a smaller  $e^{-\tau_a}$ ), leaving less energy for the cloud to absorb (Davies et al., 1984). So humid atmosphere weakens cloud absorption of SW radiation. This compensates for the reduced LW cooling.

The second CTRC-controlling factor is the cloud top temperature (i.e.,  $T_c$ ). Colder clouds emit less effectively. Because of the low altitudes of MSC, the ratio between the  $T_c$  and the sea surface temperature ( $T_s$ ) is close to 1 and has little spatial variability (Figure S3). Thus, for the convenience of intuitive understanding, one can consider the  $T_c$  and  $T_s$  as the same. This assumption holds for most problems of interest here.

The third factor is the cloud liquid water path (LWP). In the LW, the  $\varepsilon_c$  increases with the LWP (Pinnick et al., 1979) so that the LW cooling is larger for thicker clouds (Zheng et al., 2016, 2019). The degree of dependence is large for thin clouds with LWP  $< 50 \text{ g m}^{-3}$  and saturates afterward (Kazil et al., 2017). In the SW, the solar absorption also increases with the LWP (Stephens, 1978). A large LWP typically corresponds to a more humid layer, thereby enhancing the solar absorption due to the high concentration of water vapor. As a result, the  $\Delta F_{\text{SW}}$  generally increases with LWP. This, again, leads to a cancellation for the net CTRC. The cloud droplet effective radius also alters CTRC but its contribution is much smaller (Zheng et al., 2019).

The last influential factor is  $S$ . All else being equal, more solar insolation causes stronger SW heating. The  $S$  is highly correlated with the  $T_s$  (thus  $T_c$ ) in nature. Climatologically speaking, more solar insolation corresponds to warmer sea surfaces to maintain radiative balance. This holds in both spatial (zonal-mean meridional distribution) and temporal (seasonal cycle) senses.

In summary, to the first order, the CTRC variation can be explained from four factors: the free-atmospheric humidity,  $T_c$ , LWP, and  $S$ .

## 4. Result

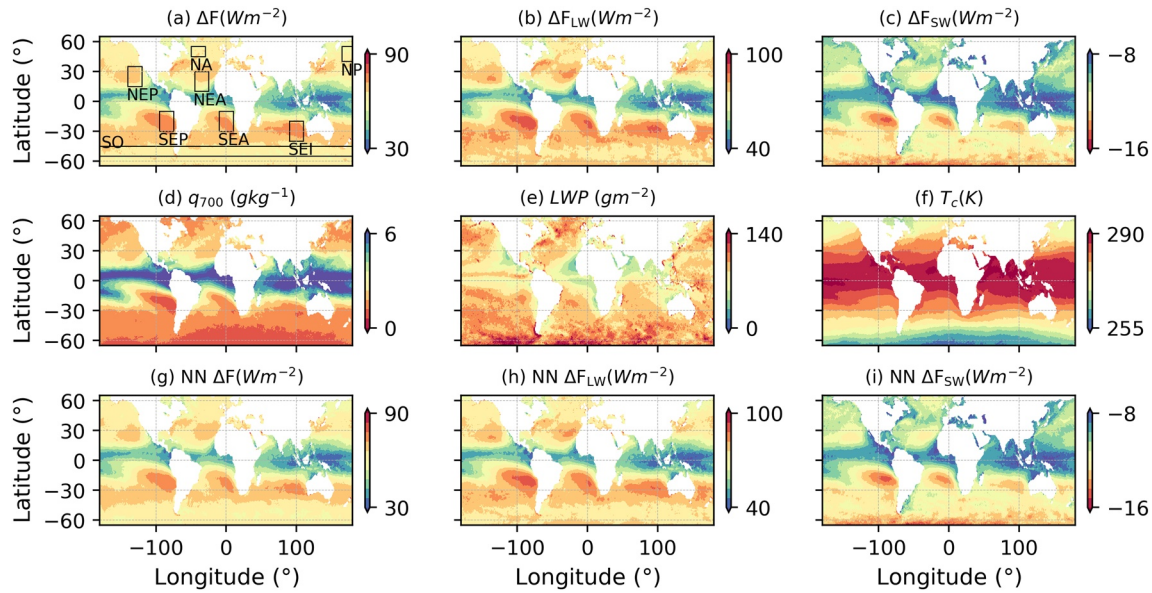
The CTRC product shows that the  $\Delta F$ ,  $\Delta F_{\text{LW}}$ , and  $\Delta F_{\text{SW}}$  have means of  $61 \text{ W m}^{-2}$ ,  $73 \text{ W m}^{-2}$ , and  $-11 \text{ W m}^{-2}$ , respectively (Figure S1). The  $\Delta F$  PDF is similar to that of  $\Delta F_{\text{LW}}$  but with weaker cooling and less variability due to the compensation by  $\Delta F_{\text{SW}}$ . Below we analyze the CTRC climatology in terms of spatial (Section 4.1) and temporal (Section 4.2) variations.

### 4.1. Annual Mean

Figures 1a–1c show the annual-mean  $\Delta F$  and its LW and SW components. The  $\Delta F$ ,  $\Delta F_{\text{LW}}$ , and  $\Delta F_{\text{SW}}$  share a similar spatial pattern: the weakest cooling (or heating) in the tropics, regional peaks in the eastern subtropics adjacent to the major continents, and modest cooling/heating in the extra-tropics. Such a spatial pattern can be well explained by the free atmospheric humidity in equatorward of  $\sim 30^\circ\text{N/S}$  where the variability of  $T_c$  is relatively small (Figure 1f). The specific humidity at 700 hPa ( $q_{700}$ ) (Figure 1d) highly resembles the three CTRC variables in terms of the spatial pattern. This is consistent with the theoretical argument that drier free atmosphere enhances the cloud top LW cooling by weakening the down-welling thermal radiation (Figure 1b) and strengthens the cloud top SW heating by increasing the exposure of clouds to solar insolation (Figure 1c). The greater SW heating compensates for the greater LW cooling, but because the magnitude of the  $\Delta F_{\text{SW}}$  is considerably smaller than the  $\Delta F_{\text{LW}}$ , the net effect,  $\Delta F$ , follows the  $\Delta F_{\text{LW}}$ .

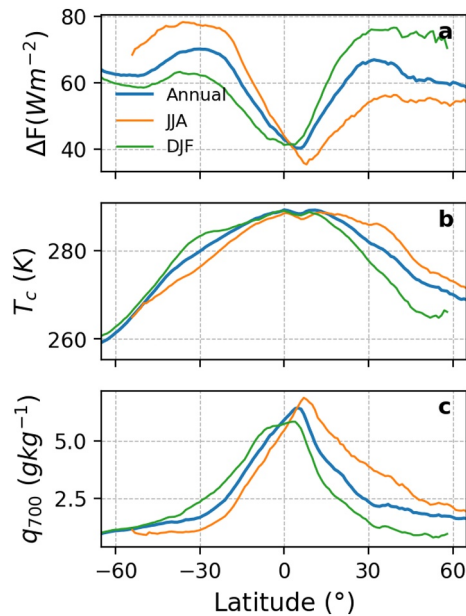
In the extra-tropics, the control of free-tropospheric humidity on the CTRC is outweighed by the influence of  $T_c$ . Poleward of  $\sim 30^\circ\text{N/S}$ , the  $q_{700}$  only slightly decreases toward the poles whereas the meridional gradient of  $T_c$  is significantly larger. The cold clouds in the extra-tropics weakens the  $\Delta F$ . As a result, the peaks of  $\Delta F$  does not concentrate in the extratropical oceans where the  $q_{700}$  is lowest but locate in the eastern subtropical basins where both the dry free atmosphere and the moderate  $T_c$  favor the strong LW cooling.

The LWP contributes little. Over most regions, the climatological LWP is large enough ( $> 50 \text{ gm}^{-3}$ ) that the sensitivity of  $\Delta F_{\text{LW}}$  to the LWP already saturates (Kazil et al., 2017; Zheng et al., 2016). The most illustrative



**Figure 1.** Global distribution of annually averaged cloud top radiative cooling (a), its longwave (LW) (b) and shortwave (SW) components (c), specific humidity at 700 hPa (d), liquid water path (e), Marine shallow cloud (MSC)-top temperature (f), and neural-network-calculated cloud top radiative cooling (g) and its LW (h) and SW components (i). In (a), black rectangles mark regions with persistent low clouds and the locations are adopted from Klein and Hartmann (1993), with slight modifications of limiting regions within 55°N/S to avoid seasonal sampling bias.

example is the tropical eastern Pacific Ocean where there is a band of high LWP. The local LWP peak is caused by the relatively strong convective activities that also moisten the free atmosphere, leading to large  $q_{700}$ . The two factors oppositely change the  $\Delta F$ . The pattern of  $\Delta F$  and its components still follows the  $q_{700}$  whose influences dominate over the LWP. There are some footprints of LWP on the local variability of  $\Delta F_{LW}$  and  $\Delta F_{SW}$  such as the scattered blobs and bands of red colors near  $\sim 60^\circ S$ , but the overall spatial pattern of the  $\Delta F$  is controlled by the free-tropospheric humidity and  $T_c$ .

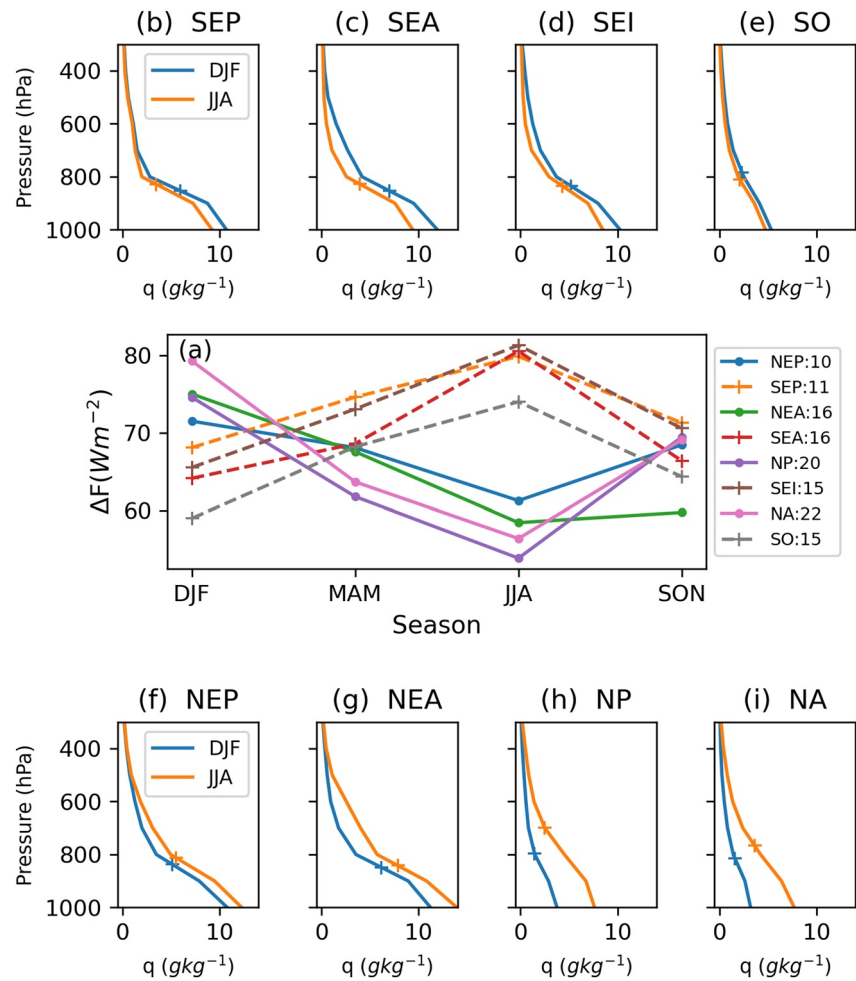


**Figure 2.** Zonal-mean meridional variations of cloud top radiative cooling (a), cloud top temperature (b), and specific humidity at 700 hPa (c) for the annual mean (blue) and boreal summer (orange) and winter months (green).

The roles of  $q_{700}$  and  $T_c$  can be more clearly seen from the zonal-mean meridional distributions (Figure 2). Equatorward of  $\sim 30^\circ N/S$ , annual-mean CTRC monotonically increases with the latitude (Figure 2a), consistent with the  $q_{700}$  variation (Figure 2c). The  $T_c$  also decreases with the latitude, but the decreasing rate is not rapid enough to counteract the enhancing effect of decreased  $q_{700}$  on the CTRC. Poleward of  $\sim 30^\circ N/S$ , the  $T_c$  drops more rapidly toward the poles, whereas the  $q_{700}$  decreases less rapidly. As a result, the CTRC no longer has a strong dependence on latitude.

#### 4.2. Seasonal Cycle

The seasonal cycle manifests as the change in temperature. The temperature influences the  $\Delta F$  both directly (via  $\sigma T_c^4$ ) and indirectly (via  $q_{700}$  under the constraint of Clausius-Clapeyron physics), with the former opposing the latter. The vapor effect dominates, suggested by Figure 2. The  $\Delta F$  is stronger in the winter because of the low specific humidity (favoring the strong cooling) despite the lower temperature (not favoring strong cooling). The determinant control of atmospheric humidity is more clearly seen by the seasonally varying  $\Delta F$  being in phase with the  $q_{700}$  (Figure 2c), both shifting with the seasonal movement of the solar insolation.



**Figure 3.** Seasonal cycle of cloud top radiative cooling for selected regions marked in Figure 1g (a). The numbers shown in the legend are the amplitudes of the seasonal cycle. (b–i) show the specific humidity profiles of the boreal summer and winter months for the eight selected regions. The plus symbols mark the cloud tops.

We further look at specific regions with frequent occurrence of stratocumulus decks: Northeast Pacific (NEP), Northeast Atlantic (NEA), North Pacific (NP), North Atlantic (NA), Southeast Pacific (SEP), Southeast Atlantic (SEA), Southeast Indian Ocean (SEI), and Southern Ocean (SO). The locations of these regions are marked by rectangles in Figure 1a. Figure 3 shows the seasonal cycles of  $\Delta F$ , along with the specific humidity profiles in boreal summer (June, July, and August) and winter (December, January, and February), for these regions. All regions show distinctive seasonal cycles with stronger cooling in the winter when the atmosphere is drier. The magnitudes are smallest over the subtropical Pacific oceans (NEP,  $10 \text{ Wm}^{-2}$ , and SEP,  $11 \text{ Wm}^{-2}$ ) and largest over northern mid-latitudes (NP,  $20 \text{ Wm}^{-2}$ , and NA,  $22 \text{ Wm}^{-2}$ ). There are two reasons for the larger amplitudes in the northern mid-latitudes. First, the temperature and thus  $q$  experience more distinctive seasonal cycles in the mid-latitudes than the subtropics. Second, the response of LW cooling to the humidity of the overlying atmosphere is non-linear. The increase of the CTRC with the atmospheric desiccation is more rapid in a dry atmosphere than in a humid atmosphere (Zheng, 2019). The mid-latitudes are drier than the subtropics. Note that the cloud top height is another influential factor for the CTRC because for a given humidity profile the total water vapor path in the free troposphere is necessarily decreased if the clouds are higher, which enhances the cooling. In the northern mid-latitudes, cloud tops are higher in the summer probably due to the relatively stronger convection propelled by warmer sea surface (Figures 3d and 3e). This enhances the summertime CTRC, somewhat damping the humidity-driven seasonal cycle.

Interestingly, the SO experiences a markedly smaller degree of seasonal cycle ( $15 \text{ Wm}^{-2}$ ) than its counterparts in the northern hemisphere (NA and NP). The moisture profiles of SO (Figure 3i) show only a slight increase in the moisture in the austral summer. This seems consistent with previous studies documenting a lack of seasonal cycle for SO MSC properties (Huang et al., 2012; Muhlbauer et al., 2014). Note that samples are selected for the single-layer MSC only. In mid-latitudes, such a cloud regime typically occurs in the cold sector of mid-latitude cyclones, causing a sampling bias toward these regions. This sampling bias may be responsible for the lack of seasonal variation. To confirm this idea, needed is investigating the complex coupling between the low clouds, atmospheric thermodynamics, and synoptic dynamics, which is beyond the scope of this study.

### 4.3. All-Sky CTRC

The  $\Delta F$  spatial distribution resembles that of the cloudiness of marine stratocumulus (Figure 4a in Wood, 2012): the cloudiness peaks in the eastern subtropics, minimizes in the tropics and western sides of the major ocean basins, and has moderate values in the extra-tropics. This resemblance is expected because the convective circulation in the stratocumulus is primarily driven by the CTRC. Without sufficiently strong CTRC, the stratocumulus decks cannot last long. From a more mechanistic perspective, general circulation sets up conditions that favor both the formation of stratocumulus decks and strong CTRC. For example, the tropical general circulation sets up statically stable lower troposphere in the subtropics, favoring the occurrence of persistent stratocumuli (Klein & Hartmann, 1993; Wood, 2012). Moreover, the general circulation dries out the subtropics via transporting moisture to the tropics where most of the moisture is lost to precipitation. The dryness in the subtropics leads to strong CTRC.

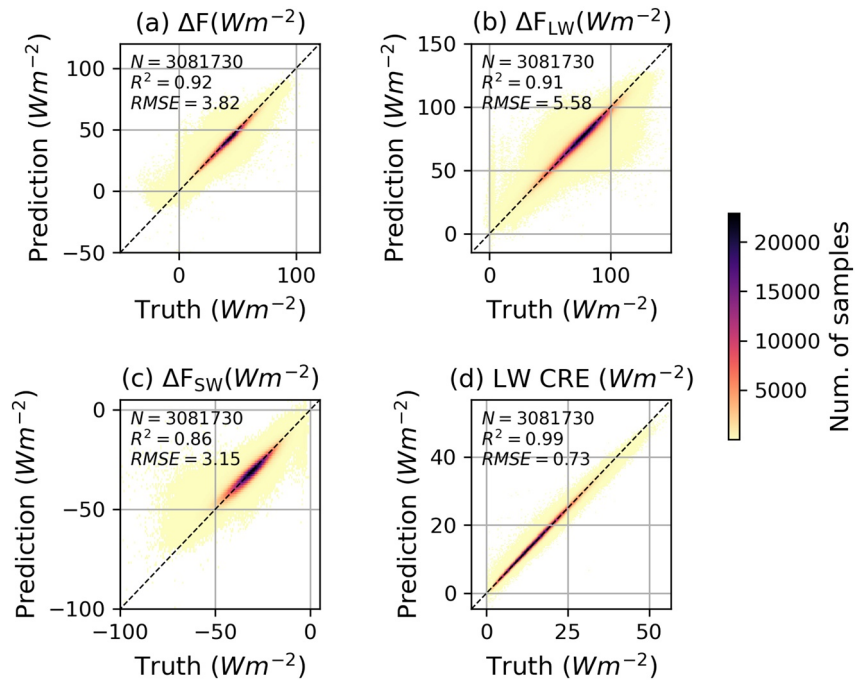
The rough correspondence between CTRC and MSC cloudiness can be used to explain the spatial pattern of all-sky CTRC (Figure S4), computed as the multiplication of the two. There is a substantial contrast between the eastern subtropics and the tropics. The all-sky CTRC in eastern subtropics and mid-latitudes remain as large as  $>50 \text{ Wm}^{-2}$  due to the large cloud coverage (annual mean of 40–60%) whereas tropical oceans have all-sky CTRC of only a few  $\text{W m}^{-2}$  largely caused by the small shallow cloud coverage.

## 5. Machine Learning the CTRC

The major limitation of this CTRC retrieval algorithm is its reliance on running an RTM that is computationally expensive. To address this issue, we propose to use machine learning. Machine learning has been widely used in radiative transfer modeling (e.g., Krasnopolsky et al., 2010; Ukkonen et al., 2020). Among the machine learning algorithms, the artificial neural network (NN) is of particular interest because of its advantage of low computational cost: once it is trained, it is computationally efficient. This strength makes it suited to our needs.

The NN used in this study is based on the Python library Keras from TensorFlow (see Text S2 for detail). Table S1 lists the input and output variables. We use half of the MODIS data ( $\sim 3$  million) for training and the remaining half for validation. It takes the trained model less than 10 s to compute the CTRC for  $\sim 3$  million validation data points. As a comparison, the original algorithm based on the RTM requires more than a half year on a single regular Central Processing Unit.

Figures 4a–4c shows the validations of the NN-predicted CTRC variables. The agreements are overall excellent. There is a certain degree of scattering but the number of scattered samples is small (yellowish area). Most cases concentrate near the one-to-one line. The major source of error stems from the discretization of the RTM, which can induce random fluctuations when extracting the  $\Delta F$  from the profiles of radiative fluxes. This is particularly so for geometrically shallow clouds whose depth is comparable to the model vertical grid size of 50 m. Such randomness may reduce the NN learning accuracy given the deterministic nature of the NN. This can be demonstrated by the better performance of the NN for the LW cloud radiative effect (Figure 4d), a parameter that is height-independent. The performance is slightly poorer for  $\Delta F_{\text{SW}}$  than  $\Delta F_{\text{LW}}$ , consistent with a more complex radiation physics in the SW. As expected, the NN-predicted global CTRC climatology well agrees with the “truth” one (Figures 1g–1i) despite a slight overestimation of CTRC in the hemispheric winter when the atmosphere is the driest (Figure S5).



**Figure 4.** Validation of the neural network prediction against the “truth” from MOIDS retrieval for the instantaneous cloud top radiative cooling (a), its longwave (LW) (b) and shortwave (SW) components (c), and the LW cloud radiative effect (d).

## 6. Conclusion

We generate a one-year climatology of CTCRC and its longwave and shortwave components for global (except poleward of 65°N/S) MSCs using a RTM with inputs of cloud properties from MODIS in combination with reanalysis sounding revised by MODIS-retrieved cloud top temperature. The CTCRC retrieval algorithm was developed in our previous study (Zheng et al., 2019). Analyses of the spatial and temporal distributions of the CTCRC yield the following findings:

1. The global mean cloud top radiative flux divergence ( $\Delta F$ ) is  $-61 \text{ W m}^{-2}$ , decomposed into the LW cooling of  $-73 \text{ W m}^{-2}$  and SW heating of  $11 \text{ W m}^{-2}$ . The  $\Delta F$  is largely a reflection of the LW cooling.
2. The  $\Delta F$  has a strong latitudinal dependence with a cooling minimum in the tropics. The cooling increases with the latitude until  $\sim 30^\circ\text{N}$  or S. The increase in cooling is primarily driven by the increasing dryness of the free atmosphere that reduces the down-welling LW flux. The cooling peaks in the subtropical eastern ocean under the downward branches of the Hadley circulation. Poleward of  $30^\circ\text{N}$  or S, the cooling decreases slightly, primarily due to the colder temperature that weakens the cloud’s outgoing thermal emission.
3. The CTCRC exhibits distinctive seasonal cycles, with amplitudes of the order  $10\text{--}20 \text{ W m}^{-2}$ . The cooling maximizes during the winter when the atmospheric specific humidity is low, which favors the cooling.
4. The CTCRC spatial patterns resemble the marine stratocumulus cloudiness. The resemblance leads to a substantial contrast in all-sky CTCRC (cloudiness multiplying the CTCRC) between the eastern subtropics and the tropics, with the latter having values of only a few  $\text{W m}^{-2}$ .

Finally, we examine the potential of machine learning in speeding up the CTCRC retrieval. Trained by the half-year’s worth of CTCRC datasets with a sample size of  $\sim 3$  million and validated against the other half, the NN model exhibits a satisfactory performance with the absolute retrieval error of  $\sim 6\%$ . The NN model speeds up the radiative-transfer-model-based retrieval by the order of million times. This will enable generations of much larger CTCRC datasets, useful for future more comprehensive research.



## Data Availability Statement

The MODIS data are from the NASA Goddard Space Flight Center's Level-1 and Atmosphere Archive & Distribution System Distributed Active Archive Center (<https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/science-domain/modis-L0L1/>). NCEP reanalysis data are collected from the NCAR Research Data Archive (<https://rda.ucar.edu/datasets/ds083.2/>). NOAA sea surface temperature data are obtained from the NOAA Physical Sciences Laboratory Data Archive (<https://psl.noaa.gov/data/gridded/data.noaa.oisst.v2.highres.html>). The CTRC data are available at <https://zenodo.org/record/5218655>. The code used to reproduce the results and the neural network model are available at <https://zenodo.org/record/5218625>.

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